



Master of Leadership and Management: Specialization in Financial Integrity

Lecturer Bios

Pawneet Abramowski

Ms. Abramowski founded PARC Solutions LLC, an advisory firm with expertise in business strategy development, repositioning of products/services to align with regulatory standards, and devising an infrastructure framework to help financial institutions and other disruptors move the world forward through financial innovation. She recently completed a C-suite executive role secondment at Community Federal Savings Bank (“CFSB”), a fintech and payments focused entity as Senior Vice President, Chief Compliance Officer.

Previously, Ms. Abramowski held roles as the Head of Financial Crimes Risk Management, Chief Compliance Officer at The Bancorp Inc. and The Bancorp Bank, where she designed and drove the execution of most significant regulatory deliverables within and across geographies, businesses, and functions. She also held compliance leadership roles covering anti-money laundering, targeted sanctions, and fraud, along with other risks at CIT Group Inc., RBC Capital Markets, and Morgan Stanley. She was key in managing compliance transformation and remediation efforts to resolve regulatory consent orders. She also spent time on the business side as a marketing communications manager for the financial services unit at Hewlett Packard. Prior to her private sector accomplishments, Ms. Abramowski spent over eight years in public sector in investigative and intelligence with municipal, state, and federal agencies, ending with the Federal Bureau of Investigation working on global terrorism cases.

Ms. Abramowski also served as member of the Board of Directors at FinTech Acquisition Corp. III, a special purpose acquisition company, formed for the purpose of entering into a merger, capital stock exchange, asset acquisition, stock purchase, reorganization, or similar business combination with one or more businesses, with a focus on the financial technology industry. Ms. Abramowski also served as an Advisory Director focused on fincrime strategy & product development at Quantaverse, a leading-edge artificial intelligence (AI) platform company.

Ms. Abramowski also is a member of the Dean’s Advisory Board at Robert B. Willumstad School of Business at Adelphi University and has served on the City Year NY’s Women’s Board. She also Co-chairs the Financial Women’s Association (New York) – Directorships and Corporate Governance Committee. She holds an MBA from there and an MA in Government and Politics and BA in Public Administration from St. John’s University.

Richard Barrett

Richard Barrett CMG OBE is Associate Fellow at the Royal United Services Institute and Senior Vice President for Special Projects at The Soufan Group in New York. He is also a director of the Qatar International Academy for Security Studies in Doha, a national security fellow at the New America Foundation in Washington, and a senior research fellow at the Center for Research and Security Studies in Islamabad. He serves on the boards of various other institutes and foundations related to counterterrorism.

From March 2004 to December 2012, Mr. Barrett was coordinator of the Al-Qai'da and Taliban Monitoring Team at the United Nations in New York, appointed by the UN secretary-general. In 2005 Richard helped establish a system-wide UN working group on terrorism, which became the UN Counter Terrorism Implementation Task Force following the adoption by the UN General Assembly of a Global Strategy to Counter Terrorism in September 2006. Within the Task Force, he led on radicalization and de-radicalization issues and on terrorist use of the Internet; he remains an adviser to its director.

Before joining the UN, Richard worked for the British Government in the Security Service (MI-5), the Foreign Office, and the Secret Intelligence Service (MI-6), where he directed counterterrorism before and after the attacks in the United States in September 2001. He has an MA in Modern History and Italian Literature from University College, Oxford.

Jeffrey Alan Breinholt

Jeff Breinholt has been an attorney with the United States Department of Justice National Security Division since 1990. In 2008, he joined the International Assessment and Strategy Center for a one-year stint as Senior Fellow and Director of National Security Law.

Breinholt has been Deputy Chief of the Counterterrorism Section at the U.S. Department of Justice and head of the Department of Justice's financing enforcement program since shortly before 9/11. He helped to create a special FBI unit devoted to U.S.-based fundraising by international terrorist organizations and the team of financial prosecutors he headed within the Counterterrorism Section is dedicated to prosecuting material support crimes. He was previously Special Assistant U.S. Attorney in the District of Utah. Breinholt earned his BA from Yale and his JD from the University of California, Los Angeles School of Law in 1988.

Jean-Pierre Brun

Jean-Pierre Brun has been working at the World Bank since 2008, specializing in anti-money laundering policies and technical assistance, and Stolen Asset Recovery. He is the lead author of five books published by the World Bank, including the Asset Recovery Handbook, Public Wrongs, Private Actions, Identification and Quantification of the proceeds of Bribery, Barriers to Asset Recovery, and Going for Broke. Mr. Brun recently led the drafting of the updated second edition of the Asset Recovery Handbook and led the design of the board approved World Bank Strategy against Illicit Financial Flows. He also designed and delivered training curriculum for investigators and prosecutors in numerous countries. Previously, Mr. Brun was a French Prosecutor and Investigating Judge specializing in white collar crime. He also was a chief auditor at the French Government Auditing Court and worked as a Director for Deloitte in Paris.

David B. Chenkin

David B. Chenkin is Managing Partner and chair of Zeichner Ellman & Krause LLP's Government Investigations, White Collar Defense and Anti-Money Laundering Group. For nearly forty years, Mr. Chenkin has represented global corporations and senior executives in complex litigation and government investigations. He has counseled clients in many significant investigations conducted by the United States Congress and by federal, state and local prosecutors and regulators. Chenkin is nationally recognized for expertise in anti-money laundering /financial crime compliance.

Chenkin is a frequent speaker on various government investigation/financial crime related topics. He also provides investigation training to global companies, other law firms, the U.S. Congress and federal, state and local law enforcement. He is the recipient of the Department of Homeland Security's HSI - New York Citizen's Legacy Award, received for his efforts in counseling HSI agents in building relationships in the financial community and contributing to forging the HSI legacy by exhibiting the core values of honor, service, and integrity.

Bill Cloninger

Bill Cloninger has been a Senior Investigator in KeyBank's AML Investigations Unit since 2005. This "second career" follows over 20 years of wide-ranging banking managerial experience with Wells Fargo Bank, Crocker National Bank, Western States Bankcard Association and Bracton Corporation, a merchant banking and private equity firm owned by the UK clearing bank, Midland Bank plc. Mr. Cloninger is the founder and a director of the ACAMS Northern Ohio Chapter, founded in 2014. The chapter was awarded the ACAMS Chapter of the Year Award in 2017. ACAMS also honored Mr. Cloninger in 2016 by naming him the "ACAMS Professional of the Month" for April 2016. In 2020, he was honored by ACAMS Today the Year Award, Virtual Currency Investigations: A Primer for BSA and Compliance Professionals.

Philip DeLuca

Mr. DeLuca recently retired from his position as Senior Vice President, US Bank, where was Head of the Solutions and Emerging Risk Group President, First Data, and Managing Director, Global Financial Intelligence Unit Investigations. Prior to joining US Bank, he was Senior Vice President at JP Morgan Chase. Mr. DeLuca has led multiple AML investigations teams for these institutions. He and his team created innovative detection techniques to tackle some of the most challenging elements of financial crime. He and his team pioneered the use of issue-based typology driven investigations during his 35 years of financial services investigations management experience and has conducted and managed numerous high profile/sensitive anti money laundering/counter terrorist financing investigations. He has partnered with all levels of law enforcement to develop leading-edge investigative strategies and has been recognized by the highest levels of government for his leadership and expertise in leveraging an issue based investigation approach to identifying data characteristics that are potentially indicative of human trafficking. In 2012, Mr. DeLuca was invited to present at the White House Forum to Combat Human Trafficking with a panel of colleagues from law enforcement and the technology industry. Now a consultant, Mr. DeLuca regularly advises industry, government, and law enforcement agencies on typology development and data interrogation techniques to be more proactive and productive in detecting illicit financial activity.

Sara Pollak Dudley

Earlier this year Col. Dudley was elevated to Chief of Staff at the United States Army Special Operations Command (USASOC) at Fort Bragg, North Carolina, from her earlier position as Deputy Chief of Staff, where she had served for six years. Prior to this appointment she served as Financial Management officer at USASOC specializing in counter-threat finance. She holds a BS from the United States Military Academy, an MA in International Studies from Yale, an MBA from Harvard, and a MAFI from Case Western Reserve University.

Sue Eckert

Hon. Sue Eckert is a consultant to the World Bank and manages its Stakeholder Dialogue on Derisking, an initiative to address financial exclusion of nonprofit organizations, based on her previous research for the 2017 report, Financial Access for U.S. Nonprofits. She is also adjunct senior fellow at the Center for a New American Security, a Washington, DC think-tank where she focuses on issues at the intersection of economics and international security: sanctions, AML/CFT policies, and proliferation financing, and visiting senior researcher at Kings College London.

She was Assistant Secretary of Commerce for Export Administration responsible for dual-use export control and sanctions policies during the Clinton Administration. Previously, she was a member of the professional staff of the U.S. House of Representative's Committee on Foreign Affairs, where she oversaw national security/ nonproliferation, and international economic issues.

From 1998-2016, Ms. Eckert was Senior Fellow at Brown University's Watson Institute for International and Public Affairs, directing projects on UN targeted sanctions and terrorist financing. She co-led the Targeted Sanctions Consortium, an international research initiative of more than 50 scholars and practitioners examining the impacts and effectiveness of United Nations targeted sanctions, resulting in the creation of two new databases, a new online tool (SanctionsApp) and the book, Targeted Sanctions: The Impacts and Effectiveness of UN Action. She has worked extensively with governments and international bodies to enhance instruments of collective security, having co-authored The Compendium of the High Level Review of UN Sanctions, Targeted Financial Sanctions: A Manual for Design and Implementation, and the series of "Watson Reports" addressing due process concerns in sanctions designations, and organized workshops, simulations, and training for the UN Security Council and Member States.

Andrea Gacki

Since September 2019, Ms. Gacki has been Director of the Office of Foreign Assets Control (OFAC) in the U.S. Department of the Treasury. OFAC is the federal agency charged with implementing and enforcing economic sanctions on behalf of the U.S. government. She is also acting as Under Secretary of the Treasury for Terrorism and Financial Intelligence (TFI).

Ms. Gacki previously served as the Deputy Director of OFAC, starting in March 2017. She also served contemporaneously as the acting Deputy Director and as the Associate Director for Compliance and Enforcement at OFAC from 2014 until March 2017. She also served as OFAC's Assistant Director for Licensing from 2010 to 2014. Andrea first joined OFAC in March 2008 as the Senior Sanctions Advisor for Program Policy & Implementation.

Prior to joining OFAC, Ms. Gacki was a trial attorney in the Federal Programs Branch of the Civil Division of the U.S. Department of Justice, where she litigated a broad range of constitutional matters at the district court level, but also defending OFAC against designation challenges brought by the Al-Haramain Islamic Foundation and the Islamic American Relief Agency. She also served as a senior member of the Justice Department's Terrorist Designation Team. Before joining the Justice Department, Andrea was an associate at the law firm of Hogan & Hartson LLP (now Hogan Lovells LLP), and she clerked for the Honorable Avern Cohn on the U.S. District Court for the Eastern District of Michigan. She is a graduate of the University of Michigan Law School and the University of Michigan-Ann Arbor.

Michael Greenman

Mike Greenman is Chief Counsel of U.S. Bank's Financial Crimes Legal team, where he provides legal advice on Anti-Money Laundering/BSA compliance, Economic Sanctions and Anti-Bribery and Corruption issues. Mr. Greenman also leads law division internal investigations for the bank. Prior to joining U.S. Bank, Mr. Greenman held multiple AML compliance leadership positions at First Data and JP Morgan Chase. He was Head of the Financial Intelligence Unit at JP Morgan Chase. The FIU drives AML risk mitigation through firm-wide investigations with strategic impact. The FIU partners with law enforcement to identify emerging risks and trends in international money laundering. He also served as bank's point person for multiple law enforcement special projects, including investigations on Terrorist Financing, Trade-Based Money Laundering, and Foreign Corruption. He also oversaw the sharing of confidential information amongst firm affiliates in compliance with international privacy laws, prepared responses for quality testing, regulatory inquiries, and law enforcement subpoenas. He provided subject matter expertise to senior decision makers on foreign correspondents. He also lead cross line-of-business investigation into possible billion dollar procurement fraud with Foreign Corrupt Practices Act implications.

He began his financial crimes career as a prosecutor at the Manhattan District Attorney's Office where he conducted various white-collar investigations and jury trials.

Lisa Grigg

Ms. Grigg is the Chief Global Financial Crimes Compliance Executive for U.S. Bank and is responsible for the oversight and management of Global AML Compliance and Operations, Counter-Terrorist Financing and Economic Sanctions Programs. Her organization provides the company and business units with direction, guidance, monitoring and assistance necessary to be effective and comply with compliance, laws, and regulations in all jurisdictions in which it operates.

Grigg joined U.S. Bank in June, 2015. She has spent the last 25 years in senior management positions leading various AML and Fraud organizations.

Before moving into the banking industry, Ms. Grigg was a Special Agent with the United States Secret Service with both protective and investigative responsibilities. Lisa has been instrumental in establishing and maintaining working relationships with law enforcement and related government agencies on financial crime matters.

Ms. Grigg is a graduate of Radford University. She is both ACAMS and CFE certified and participates in industry groups, speaking engagements, conference planning committees and Industry Boards.

Lester Joseph

Les Joseph is a Senior Vice-President at Wells Fargo & Company and is the Manager of the Global Financial Crimes Intelligence Group. The primary mission of this Group is to provide intelligence on money laundering activity and financial crime trends to all parts of the company. Mr. Joseph joined Wells Fargo as the International Investigations Manager in the Financial Intelligence Unit in March 2010.

Prior to joining Wells Fargo, Mr. Joseph worked for the U.S. Department of Justice from 1984 to February 2010. From 2002-2010, he was the Principal Deputy Chief of the Asset Forfeiture and Money Laundering Section (AFMLS). He was a Deputy Chief in the Section since October 1991. During his tenure in AFMLS, the Section played a major role in several noteworthy money laundering investigations and prosecutions involving major financial institutions.

Mr. Joseph began his career with the Department of Justice in 1984 as a Trial Attorney in the Organized Crime and Racketeering Section. From 1981-1984, he served as an Assistant State's Attorney in Cook County (Chicago), Illinois.

Mr. Joseph has also served as an Adjunct Professor at The George Washington University from 1995-2010 where he taught several courses in the University's Sociology Department including Introduction to Criminal Law, Sociology of Law, and Deviance and Social Control. During the fall 2017 semester, he taught a class entitled International Money Laundering, Corruption and Terrorism at George Mason University's Schar School of Policy and Government. He received his J.D. from The John Marshall Law School in Chicago and his B.A. from the University of Michigan.

Dr. Togzhan Kassenova

Dr. Kassenova is a senior fellow with the Project on International Security, Commerce, and Economic Statecraft (PISCES) at the Center for Policy Research, SUNY-Albany. She is also a nonresident fellow with the Nuclear Policy program at the Carnegie Endowment for International Peace. Dr. Kassenova is an expert on nuclear politics, WMD nonproliferation, and financial crime prevention.

From 2011 to 2015, she served on the UN Secretary-General's Advisory Board on Disarmament Matters. Kassenova holds an MA in Financial Integrity from Case Western Reserve University, MA in Euro-Asian Studies from the University of Reading, a Ph.D. in Politics from the University of Leeds and is a Certified Anti-Money Laundering Specialist (CAMS). She is the author of *Atomic Steppe: How Kazakhstan Gave Up the Bomb* (forthcoming, Stanford University Press, 2022) and *Brazil's Nuclear Kaleidoscope: An Evolving Identity* (Carnegie Endowment for International Peace, 2014). She has taught at the graduate level at the Middlebury Institute of International Studies and George Washington University.

Tom Keatinge

Tom Keatinge is the founding Director of the Centre for Financial Crime and Security Studies (CFCS) at the Royal United Services Institute (RUSI), where his research focuses on matters at the intersection of finance and security. Prior to joining RUSI in 2014, he was an investment banker for 20 years at J.P. Morgan.

His research on finance and security considers a number of themes including:

Terrorist financing: the effectiveness of current approaches to terrorist financing; the use of financial intelligence as a security tool; the evolution of terrorist financing methods and responses; the financing of lone actor and small cell terrorist actors; far right extremist and terrorist financing; kidnap-for-ransom and terrorist financing; and the impact of counter-terror finance regimes on financial access.

The financial dimension of state threats: the methods by which adversaries seek to undermine Western democracies and exploit their financial systems; Sanctions: the effectiveness and evolution of sanctions regimes; Proliferation financing: the role of finance as a tool of disruption in combatting state and non-state actor proliferation ambitions; Organized crime: assessing the financial dimension of priority transnational threats, including the illegal wildlife trade, environmental crime and human trafficking; New approaches to tackling financial crime: the role of public/private partnership and information sharing.

Mr. Keatinge has contributed to a variety of publications and media outlets; has given evidence to UK parliamentary and US congressional hearings and spoken at a range of high-level multilateral forums including the UN Security Council and the Financial Action Task Force and a number of its regional bodies.

He has an MA in Intelligence and International Security from King's College London, where he studied the effectiveness of the global counterterror finance regime.

Eliot Kennedy

Eliot Kennedy is the Director of Kennedy AML/CFT Consulting Pty Ltd, a company established in December 2019 following his retirement from the Asia/Pacific Group on Money Laundering (APG) secretariat in August 2019. Mr. Kennedy was the Deputy Executive Secretary of the APG secretariat for a number of years, having joined the secretariat in March 2001. The APG is a multi-lateral organization of 41 jurisdictions in the Asia-Pacific region responsible for the implementation of the international standards against money laundering, terrorism financing, and targeted sanctions avoidance.

Mr. Kennedy has participated in 15 APG and Financial Action Task Force (FATF) evaluations, including four under the FATF assessment methodology issued in 2013. As a senior member of the APG secretariat, Mr. Kennedy was involved in all aspects of the APG's work program, including strategic planning and management issues, liaison with the FATF and attendance at FATF meetings, technical assistance, and training, overseeing the APG's mutual evaluation program and conducting mutual evaluations of APG members to test their compliance with the international standards, and overseeing the APG's typologies (methods and trends) work.

Prior to joining the APG, Mr. Kennedy held various Australian Public Service positions, including as Deputy Registrar of Refugee Review Tribunal from 1993 to 2001, and various policy positions in the Australian National Crime Authority between 1985 and 1993.

Mr. Kennedy has a Bachelor of Arts (Honours) from Sydney University, a Graduate Diploma in Communication Management, and an Advanced Diploma of Management.

William Casey King

Dr. King is the Director of the Capstone Program at the Jackson Institute for Global Affairs. His research focuses on big data and data-driven policy analyses and solutions. King is a recipient of several grants from the Defense Research Projects Administration (DARPA) including a “big data” grant, as part of the White House’s Big Data Initiative (XDATA), a grant to measure and quantify radicalism in social media (QCR) and was co-leader of a DARPA seedling effort to protect our financial markets from attacks. He has consulted to the Department of Homeland Security, the Department of Treasury’s Financial Crimes Enforcement Network, the FBI, and the Securities and Exchange Commission, the Bill and Melinda Gates Foundation, and served as a member of a Connecticut United States Attorney’s Office Task Force assisting with investigation of human trafficking in children. At Yale, he teaches courses on big data and global policies, anti-money laundering and countering the financing of terrorism, anti-human trafficking, as well as “consulting-style” courses with various NGOs and government partners. As an undergraduate he studied at Tulane and Harvard Universities, did graduate work in Data Science at University of California, Berkeley, and earned his doctorate from Yale.

Louis de Koker

Louis de Koker is a professor of law at La Trobe Law School in Victoria, Australia. Between 2014 and 2019 Louis led the Law and Policy Program of the Data to Decisions Cooperative Research Centre. His financial crime research focuses on managing the relationship between financial inclusion and anti-money laundering and counter terrorist financing objectives. He has undertaken various university research engagements with the bodies such as the World Bank and AusAID and has worked closely with the Consultative Group to Assist the Poor (CGAP) and with the Financial Integrity Working Group of the Alliance for Financial Inclusion (AFI). This work extended to a range of developing countries including Ghana, Indonesia, Kenya, Kyrgyzstan, Malaysia, Namibia, Nigeria, Uganda, the Ukraine and Palau. His publications have been cited in publications and research papers of international bodies such as the World Bank, the Basel Committee on Banking Supervision, the International Labour Organisation, the G20’s Global Partnership for Financial Inclusion and the World Economic Forum. He was invited to serve on the Financial Action Task Force (FATF) project group to draft its financial inclusion guidance in 2011 and to revise it in 2013. In 2004 he was awarded a Commonwealth Academic Staff Fellowship to undertake research on money laundering control in the UK. In 2004-2005 he was a visiting fellow at the Institute of Advanced Legal Studies of the University of London. In 2012 he was a visiting scholar at the School of Law of George Washington University, Washington, DC. Louis has also held visiting positions at the Levin College of Law of the University of Florida and, from 2009-2013, at the University of Johannesburg. Louis is an attorney of the High Court of South Africa and a fellow of the Society of Advanced Legal Studies. He has published extensively on financial integrity matters. Louis has the LLB, LLM, and LLD degrees from the University of the Free State in South Africa, and an LLM from St John’s College, Cambridge University, United Kingdom.

Hermann Krull

Formerly Assistant General Counsel (Monetary and Financial Law) at the South African Reserve Bank, and Senior Counsel in the Legal Department of the International Monetary Fund ('IMF') in Washington DC, Hermann has served as General Counsel, and as Head of Compliance of Access Bank (South Africa) Limited (formerly South African Bank of Athens, and Grobank) for the past 11 years. He was admitted as Advocate of the High Court of South Africa in 1981.

He started his legal career as a State Prosecutor, and later as State Advocate, with the South African Justice Department. Hermann held several positions at the South African Reserve Bank, including that of Division Head: Bank Supervision Department. His experience further includes the position of Director: Group Compliance of the Standard Bank Group Limited. He has also been a consultant for the World Bank and the IMF for anti-money laundering and banking legislation reform.

Nadim Kyriakos-Saad

Nadim Kyriakos-Saad is Assistant General Counsel and leads the Financial Integrity Group of the Legal Department at the International Monetary Fund. Nadim is a member of the Legal Department's management team and is responsible for supervising the work of the Department's Financial Integrity Group in anti-money laundering and combating the financing of terrorism and related issues, among other topics. Nadim has lead many mutual evaluation missions to measure compliance with the Financial Action Task Force's 40 Recommendations on anti-money laundering and combating the financing of terrorism and related issues around the world. Nadim graduated in law as well as in political science from Saint-Joseph University in Beirut and received a Master of Laws (LL.M.) from Columbia University in New York. Before joining the Fund, Nadim practiced law from 1988 to 1992 at Bahige Tabbarah Law Offices in Beirut, Lebanon and from 1993 to 1998 at Baker & McKenzie, in Riyadh, Saudi Arabia.

Ellen Lafferty

Ellen Lafferty is an Adjunct Professor at Fordham University School of Law, a member of its Advisory Board for Compliance Programs, and a contributing author to the 2020 edition of the Complete Compliance and Ethics Manual.

From 2017 through mid-2019, Ms. Lafferty was the US Head of Anti-Bribery & Corruption at HSBC. Ms. Lafferty joined HSBC from Deutsche Bank where she was a founding member of the global Anti-Bribery & Corruption group and the Americas Head of Anti-Bribery & Corruption. In that role she was primarily responsible for establishing and implementing a dedicated anti-bribery and corruption program for the Americas. Prior to becoming the Americas Head of Anti-Bribery & Corruption at Deutsche Bank, Ms. Lafferty was an AML Compliance Officer for the Global Transaction Bank and the Interim Head of AML for Deutsche Bank Cayman. Ms. Lafferty was previously a litigation attorney in private practice for eleven years at two different international law firms. Ms. Lafferty specialized in complex commercial litigation and also advised clients on FCPA and OFAC sanctions issues.

Ellen received her B.S. in Urban and Regional Studies from Cornell University's College of Architecture, Art and Planning. She received her J.D. degree from Fordham University School of Law where she was a Stein Scholar in Public Interest Law and Ethics.

Jennifer Lane

Jennifer Lane is Senior Counsel for Market Regulation Enforcement at (FINRA). There she co-manages million-dollar market manipulation investigations of the nation's largest broker dealers on behalf of NYSE, NASDAQ, and other national stock exchanges. Representative responsibilities include eliciting testimony from C-suite executives, supervising staff analysis of complex trading data and voluminous document productions, and negotiating global resolutions with opposing counsel.

Before joining FINRA she served for four years as Assistant US Attorney in the Criminal Division of the United States Attorney's Office for the Southern District of New York. In that role, she investigated and prosecuted numerous white collar felony matters involving international money laundering, Bank Secrecy Act and sanctions violations, tax evasion, cybercrime, wire fraud, and public corruption. Outside of court, she prepared and delivered presentations to foreign legal dignitaries regarding, among other things, the Sentencing Guidelines, and advanced investigative techniques. She also participated in pioneering initiatives, including serving as a founding member of the Office's Diversity Advisory Board, and referring young, non-violent defendants to a pilot diversionary program providing counseling, treatment, and access to employment as an alternative to incarceration. Previously, Ms. Lane worked as a senior associate at Paul, Weiss, Rifkind, Wharton and Garrison LLP, where she assisted trial counsel for a Fortune 50 client in an \$150M FINRA arbitration involving disputed securities claims. She maintained an active pro bono practice at the firm. For example, she obtained a Botswanan refugee's release from immigration detention; represented indigent criminal defendants in motions and plea hearings; and managed an internal investigation of child abuse on behalf of a national affiliate of the U.S. Olympic Committee. Prior to Paul, Weiss, Ms. Lane clerked for the Honorable Richard W. Roberts of the United States District Court for the District of Columbia, and for the Honorable Damon J. Keith of the United States Court of Appeals for the Sixth Circuit.

Today, she serves as a Visiting Faculty Member at Harvard Law School, a Board Member of EDSnaps and FedKids, and Secretary of the Civil Rights Committee of the Metropolitan Black Bar Association. She has a JD from Harvard Law School. And a BA in American History from the University of Pennsylvania.

Dennis Lormel

Mr. Lormel retired from the Federal Bureau of Investigation (FBI) following over 30 years of government service, for almost 28 years of which he was a Special Agent in the FBI. In December 2000, Mr. Lormel was promoted to Chief of the FBI's Financial Crimes Program. Immediately following the terrorist attacks of September 2001, Mr. Lormel formulated, established, and directed the FBI's comprehensive terrorist financing initiative. He developed and implemented a variety of proactive and progressive investigative methodologies. These efforts evolved into the formation of a formal Section within the Counterterrorism Division of the FBI, known as The Terrorist Financing Operations Section. Mr. Lormel has received numerous commendations and awards including the Department of Justice, Criminal Division's Award for Investigative Initiative, and the Central Intelligence Agency's George H. W. Bush Award for Excellence in Counterterrorism. Over the last ten years Mr. Lormel has provided risk advisory consulting services. He is the founder and President of DML Associates, LLC, a full-service investigative consultancy. Mr. Lormel provides consulting services and training related to terrorist financing, money laundering, fraud, financial crimes, suspicious activity, and due diligence. He also offers financial intelligence services through case studies and trend analysis. Mr. Lormel was presented with the 2010 Association of Anti-Money Laundering Specialists Volunteer of the Year Award.

Meryl Lutsky

Meryl Lutsky serves as Vice President, General Counsel and Chief Compliance Officer at T&M. In this capacity, she drives the overall legal and compliance strategy for the firm, assists clients with regulatory, legal, and enforcement issues, and supervises virtual currency, anti-money laundering and financial crime investigations. She is also in charge of T&M's Cannabis Banking Risk Management group. Ms. Lutsky has been with T&M since 2018.

Prior to being recruited by T&M, Ms. Lutsky served for twelve years as Chief of the Money Laundering Investigations Unit and Statewide Crime Proceeds Strike Force for the Office of the New York State Attorney General, where she investigated and prosecuted violations of Money Laundering as well as violations of the Banking and Tax laws. These long-term investigations included those related to Virtual Currency Fraud and Misuse, International and Domestic Terrorism, Cyber Crime, and International Drug Trafficking. She led a task force of regulators, civil and criminal investigators, agents, auditors, and attorneys from multiple federal, state, and local law enforcement agencies. She prosecuted cases in both state and federal courts and maintained Department of Justice issued "Top Secret" Security Clearance while conducting investigations with the Joint Terrorism Task Force.

Prior to being appointed by the Attorney General to lead the Strike Force, Ms. Lutsky spent four years tracking down and prosecuting organized crime at the Organized Crime Task Force. She started her legal career as an Assistant District Attorney in New York City at the Queens County District Attorney's Office.

For her impact in the fight against money laundering and financial crime, she received the Association of Certified Anti-Money Laundering Specialists (ACAMS) Professional of the Year Award.

Bronwen Macro

Bronwen Macro recently left her position as Lead Risk Specialist and Bank Secrecy Act/Anti-Money Laundering (BSA/AML) Compliance Risk Management Specialist with the Federal Reserve Bank of San Francisco. As part of the Large Institutions Supervision Coordinating Committee (LISCC) team, Ms. Macro leads BSA/AML examinations and evaluates BSA/AML and Office of Foreign Assets Control (OFAC) enforcement action remediation of the largest global financial institutions operating in the US. She is also lead instructor for the Anti-Money Laundering Examination Seminar, a week-long course offered as part of the Board of Governors' Foreign Technical Assistance program for financial institution supervisors. Ms. Macro has also served as the BSA/AML Risk Coordinator for the Federal Reserve Bank of San Francisco, where she identified and monitored emerging BSA/AML and OFAC risks and supervisory issues, provided guidance and consultative services to supervisory staff, and worked with counterparts throughout the Federal Reserve System to develop and deploy regulatory guidance. Prior to joining the San Francisco FRB, Ms. Macro was a Risk Examiner at the Federal Reserve Bank of New York. Ms. Macro is a Certified Anti-Money Laundering Specialist, a graduate of Brown University, and holds a Master of Public Policy degree from the University of Michigan.

Willie Maddox

Willie Maddox is Executive Vice President and Chief Risk Officer at Atlantic Community Bankers Bank. She joined the Bank in 2019 and oversees its Risk and Compliance function. Willie chairs the Bank's internal Risk Management Committee and is focused on evolving the company's risk management infrastructure and capabilities.

Prior to this role, Ms. Maddox was Senior Vice President, Business Risk and Compliance Manager at Fulton Financial Corporation and was responsible for the Consumer vertical where she provided risk management and compliance oversight. Preceding this role, she was Vice President, Senior Mortgage / Retail Credit Lending Compliance and Risk Manager.

Ms. Maddox's breadth and depth of banking experience is inclusive of strategic planning, business development, healthcare underwriting, and accounting. She has been actively involved with compliance and enterprise risk management since 2005. In 2010, Ms. Maddox was awarded the 1Key Chairman Achievement Award in her role at KeyCorp; this notable award underscored her contribution to KeyCorp's ERM Program and Policy. Also, in 2021, she was awarded the DCRO Institute Certificate of Risk Governance.

Ms. Maddox also serves as a Mid-Atlantic Alliance for Performance Excellence (MAAPE) Examiner, a Baldrige-based awards program that serves PA, NJ, and DE. This program helps organizations improve their performance and outcomes in seven areas defined by the Baldrige Excellence Framework: leadership; strategy; customers; measurements, analysis, and knowledge management; workforce; operations; and results.

Ms. Maddox received her MBA from the Booth School of Business at the University of Chicago, and a Bachelor of Arts from Lakeland College, Sheboygan, WI. She is a Certified Anti-Money Laundering Specialist (CAMS), Certified Regulatory Compliance Manager (CRCM), Certified Public Accountant (CPA), Certified Global Management Accountant (CGMA) and holds a Certification in Risk Management Assurance (CRMA).

Annemarie McAvoy

Annemarie McAvoy is a Bates Consulting and Testifying Expert in financial crimes, including Anti-Money Laundering (AML), Sanctions, Cryptocurrency, Anti-Bribery and Corruption compliance, internal investigations, fraud detection and prevention and crisis management. Ms. McAvoy is one of the founding members of Clovis Quantum Solutions, a boutique consulting firm. Not only does she design and enhance compliance programs, but she also handles legal cases, including criminal matters and civil litigation. Additionally, Ms. McAvoy teaches classes on financial crime at Columbia's Graduate School of International and Public Affairs, where her students create white papers on white collar crime issues, including AML compliance, crypto-currency, and transnational organized crime. She has also taught as an adjunct professor at Fordham Law School.

Early in her career, Ms. McAvoy served as Assistant U.S. Attorney for the Eastern District of New York and, later, specialized in large financial crime cases at the Kings County (New York) District Attorney's Office Rackets/Investigations Unit. She has legal and compliance experience from her time as in-house counsel at both Citigroup and Morgan Stanley, and as a Senior Manager in Ernst & Young's Financial Crimes Compliance Unit.

Joseph Myers

Jody Myers is Chief Risk Officer at the US International Development Finance Corporation. Prior to this appointment last year, he was Chief Risk Officer of the Western Union Company, where he was responsible for global enterprise risk management, including operational, information security, and compliance risks, as well as crisis preparedness and incident response. Previously, he was assistant general counsel and head of the Financial Integrity Group in the Legal Department of the International Monetary Fund, which supported IMF surveillance, program lending, and technical assistance programs, and which represented the IMF at the Financial Action Task Force and the G-20 Anti-Corruption Working Group. Prior to joining the Fund in 2005, he worked on financial crime, corruption, and terrorism issues as a director at the National Security Council at the White House, as a senior advisor to the Under Secretary of the Department of the Treasury, as assistant director, and deputy chief counsel, of the Financial Crimes Enforcement Network (FinCEN), and in private law practice. Mr. Myers is a graduate of Yale College and Vanderbilt University Law School.

Josée Nadeau

Josée Nadeau is a Co-CEO of McDonell-Nadeau Consulting. She is also an AML/CFT/PF Consultant for the International Monetary Fund and the Asian Development Bank. Ms Nadeau has extensive knowledge of the FATF Standards and Methodology including all aspects of the Mutual Evaluation process, International Cooperation Review Group process, and National Risk Assessments, as well as extensive hands-on implementation of the Standards in countries in all regions of the world.

Ms. Nadeau was Head of the Canadian Delegation to the FATF and to its regional bodies in the Caribbean, South America, and Asia-Pacific. She led Canada in the FATF's revision of its AML/CFT Standards in 2012, the FATF evaluation of Canada in 2016 and was co-chair of one of the FATF review groups. She has worked with many international and national organizations, including the FATF, OECD, the G7/8, the G20, the UN, Finance Canada, and the Bank of Canada. She is a graduate of the Université de Montréal with a B.Sc and M.Sc in Economics.

Nikos Passas

Dr. Passas is Professor of Criminology and Criminal Justice at Northeastern University, where he served for a number of years as Co-Director of the Institute for Security and Public Policy. He is also Co-Chair of the Steering Committee for the University of Geneva Anti-Corruption Academy (GENACA), Distinguished Visiting Fellow at the Institute for Advanced Studies and Law School, University of Birmingham, Distinguished Visiting Professor at Beijing Normal University Law School; Distinguished Practitioner in Financial Integrity and Senior Fellow of the Financial Integrity Institute at Case Western Reserve Law School, and Chair of the Academic Council of the Anti-Corruption Academy in India. He serves on the Advisory Board of the Centre for Crime, Justice and Policing at the University of Birmingham, the Global Advisory Board of the Transnational Alliance to Combat Illicit Trade (TRACIT), the Advisory Board of the Global Risk Profile in Geneva, the International Panel of Advisors at the Institute for Australia India Engagement in Brisbane and the Board of Directors of Compliance and Capacity Skills International in New York.

He is a member of the Athens Bar (Greece). He specializes in the study of international criminal law and conventions, corruption, illicit financial/trade flows, sanctions, informal fund transfers, remittances, terrorism, white-collar crime, financial regulation, organized crime, and international crimes. He has more than 240 publications in 15 languages. Passas offers training to law enforcement, intelligence, and private sector officials on regulatory and financial crime subjects. He regularly serves as expert witness in court cases or public hearings and consults with government agencies, law firms, financial institutions, consulting companies and international organizations. His law degree is from the Univ. of Athens (LL.B.), his Master's from the University of Paris-Paris II (D.E.A.) and his Ph.D. from the University of Edinburgh.

Gary E. Peterson

Gary Peterson is Global Banking Managing Director, Crowe, LLP. He joined Crowe in 2016 where he provides senior level AML and sanctions advisory services to global and international financial institutions. He leads Crowe's Global Banking Team and serves as co-Practice Lead for crypto and digital asset services, especially as these areas relate to risks arising in the money laundering, cyber and sanctions areas. He is also a highly experienced advisor to financial institutions regarding complex regulatory remediation.

Mr. Peterson is the founder and President of IMAG Consulting Services LLC, a consulting and advisory firm formed in 1993 specializing in culture change, governance, AML, BSA, sanctions and wholesale and retail banking compliance and is a frequent industry speaker related to these areas. In 2010, he was seconded to HSBC North America as AML Director to lead its large-scale remediation of the AML and sanctions program required under Federal regulatory orders and Monitor requirements. He was subsequently appointed HSBC USA Senior Executive Vice President and Chief Compliance Officer, responsible for managing the activities of over 1,800 AML, general banking compliance and securities compliance staff in the U.S. In this role, he appeared before the US Senate's Permanent Subcommittee on Investigation and the Department of Justice relating to the remediation of the HSBC Compliance Program. He returned to IMAG in 2014 and assumed leading AML and compliance roles for major global financial institutions which were dealing with significant regulatory compliance remediation issues.

Prior to forming IMAG, Gary was Senior Vice President, Chief of Staff, General Counsel and Head of Compliance of Midland Bank plc USA from 1985-1992 and was responsible for legal, regulatory and compliance oversight over retail, corporate and commercial banking, treasury and capital markets, trade finance, wealth management services, securities, asset management and investment banking businesses. Upon HSBC's acquisition of Midland, he was appointed HSBC's Regional Compliance Director for Western Hemisphere Operations and Secretary of HSBC's U.S. bank holding company. Prior to 1985 he was General Counsel for Midland Bank's US Trade Finance business.

He is a summa cum laude graduate of Lehigh University and received his JD from the School of Law of Case Western Reserve University.

Susan Pond

Susan Pond M.S.C has more than 30 years of experience working with international organizations and the Canadian government. She is the architect of NATO's good governance program Building Integrity (BI) strengthening transparency, accountability, and integrity in the defense sector, resulting in the NATO policy on good governance endorsed by NATO Heads of State and provided the foundation for the conclusion of a NATO-EU agreement to promote good governance. She continues to advocate for good governance and teaches global affairs at York University (Toronto). In 2002 she was awarded the Queen Elizabeth II Golden Jubilee Medal for outstanding public service. In 2019, the Governor-General of Canada presented the Canadian Meritorious Service Cross to Ms. Pond; this honor, granted by the Queen "recognizes great Canadians for exceptional deeds that bring honor to our country."

Karim Rajwani

Mr. Rajwani recently retired as the SVP, Chief Operating Officer at Scotiabank, leading the bank's Financial Crime remediation activities including transaction monitoring, screening, investigations, and intelligence activities. Mr. Rajwani also has global responsibility for AML Intelligence, Threat Research as well as the Enterprise Project Management Office and the AML Solution Delivery Team.

Mr. Rajwani was previously the AML Advisor at various Fintech firms and Major Financial Institutions. Prior to that was he was the Global Head of Financial Crime Investigations and Intelligence, the Global Head of Financial Crime Technology Strategy, and the UK Money Laundering Reporting Officer at Deutsche Bank. In this role Mr. Rajwani was also responsible for the AML remediation program in response to regulatory actions as well as major client investigations.

Mr. Rajwani was the Chief Anti-Money Laundering officer for the RBC Financial Group (RBC), where he oversaw the design, implementation and the day-to-day management of the anti-money laundering, sanctions, anti-bribery and corruption and high-risk client management programs for more than 15 years. In addition, he was responsible for the AML Compliance, Investigations and Data Science teams globally.

Mr. Rajwani has 40 years of risk management, compliance, audit and financial accounting experience. Mr. Rajwani was also a member of the Advisory Council on National Security for the Office of the Prime Minister of Canada between 2009 and 2012, he created and Co-Chaired the Canadian Chapter of the Association of Certified Anti Money Specialists (ACAMS) and is a member of the Advisory Board of ACAMS.

In addition to his risk management experience, Mr. Rajwani is a Chartered Accountant both in Canada as well as the UK and has worked for various Financial Institutions, Chartered Accounting and Management Consulting firms. In these roles, he has overseen the implementation of a number of enterprise-wide risk management and compliance initiatives.

Dennis Schwartz, Esq., MAFI, CAMS

Dennis Schwartz is the BSA Officer for Premier Bank, an \$8 billion financial institution, where he supervises a team covering fraud, AML, and sanctions. Before that, Dennis was a Sales Engineer for Fiserv, a fintech provider of AML and fraud software. Dennis was responsible for creating and demonstrating AML, sanctions, fraud, and trade-based money laundering monitoring systems for banks, credit unions, broker-dealers, insurance companies, and money-service businesses in the U.S. and Canada.

Prior to Fiserv, Dennis was AVP and Senior AML/BSA Systems Analyst for FirstMerit Bank, a \$25 billion retail and commercial bank located in Ohio. Here he was responsible for the daily running of compliance systems, overseeing a team analysts. Besides tuning and model validation, his other duties have included: backup OFAC officer, supervisor for CTR filings, and creating and updating the corporate AML/BSA risk assessment. Dennis moderated the system user group and organized the first in-person user group meeting.

Dennis completed his JD in May of 2022 where he focused on regulatory classes for financial institutions, broker-dealers, and consumer protection earning a first in Financial Institution Regulation. He was admitted to the Ohio bar in November, 2022. Dennis was also a student in the first cohort of the Case Western Reserve University Master of Arts in Financial Integrity (MAFI) in 2018, writing a capstone that foreshadowed several aspects of the AML Act of 2020. A Certified Anti-Money Laundering Specialist (CAMS) since 2006, Dennis has authored several articles for ACAMS Today and has spoken and moderated on sanctions systems.

Moni SenGupta

P. Moni SenGupta is Senior Financial Sector Specialist in the Finance, Competition, and Innovation Global Practice of the World Bank. She works in the intersection of financial sector stability and integrity, with specialization on financial sector legal reform in AML/CFT. She has previously served as the Acting Practice Manager for the FIRST Initiative, a multi-donor trust fund on financial sector reform and strengthening technical assistance. Prior to joining the World Bank in October 2011, she served for 10 years as a Senior Counsel in the Legal Department at the International Monetary Fund. She had previously served as a Senior Associate with Arnold & Porter, LLC in the financial institutions practice. She started her career at the Legal Department of the Office of the Comptroller of the Currency, United States Department of Treasury, departing as a Senior Attorney after 5 years.

She has extensive experience in financial sector regulation and problem bank resolution, risk-based regulation and supervision, AML/CFT assessments and technical assistance, public international law, transparency/governance/corruption, the Financial Sector Assessment Program, debt-relief for low-income countries, and offshore financial institutions.

Ms. Gupta received her LLM from Boston University Morin Center for Banking Law Studies, her JD from the Ohio State University Moritz College of Law and a BS in Economics and Spanish from Carnegie Mellon University.

Kevin Stephenson

Mr. Stephenson is the Managing Director of Effective Implementation Consulting and is the Residential Advisor on AML/CFT matters for the Government of Seychelles. Prior to working in Seychelles, he was the first Director of the Financial Action Task Force Training and Research Institute in Busan, Korea. Before Busan, he served as the Executive Secretary of the Egmont Group of Financial Intelligence Units; as a Senior Financial Sector Specialist with the Financial Market Integrity Unit at the World Bank; and as an Anti-Corruption advisor for the United Nations Integrated Mission in Timor-Leste. He also served as the Director of the Financial Intelligence Centre for the United Nations Interim Administration Mission in Kosovo combating money laundering and terrorism financing. He has also served in US federal law enforcement as a Deputy Attaché for the Department of Homeland Security and a Senior Special Agent at the Department of Treasury, United States Customs Service. He holds a MS in Criminal Justice from the University of Tennessee.

Braddock Stevenson

Mr. Stevenson is Bank Secrecy Act Counsel at Paul Hastings LLP. Previously he served as counsel in O'Melveny & Myers' White Collar Litigation and Financial Services practice groups. He advises financial institutions and fintech companies on anti-money laundering and Bank Secrecy Act (BSA) compliance to help them navigate investigations and enforcement matters. Before joining the private sector, Braddock spent more than a decade at the US Department of the Treasury's Financial Crimes Enforcement Network (FinCEN), most recently serving as Deputy Associate Director of FinCEN's Enforcement Division.

While at FinCEN, Mr. Stevenson oversaw BSA compliance and enforcement for all US financial institutions including banks, virtual currency exchanges, broker-dealers, money transmitters, casinos, and jewelry dealers. He led multiple investigations into a broad range of financial institutions and served as the lead negotiator in resolving BSA matters. His work included developing the Department of the Treasury's BSA supervision strategy for all US financial institutions and advising both private sector and government officials on compliance matters. His responsibilities also included investigating and drafting USA PATRIOT ACT section 311 actions and representing FinCEN in intra-agency task forces. Mr. Stevenson spent four years stationed in the New York High Intensity Financial Crimes Area advising law enforcement on money laundering investigations. He worked extensively on developing BSA policy for traditional and emerging payment systems including SWIFT, ACH, Fedwire, CHIPS, mobile payments, prepaid cards, and virtual currency platforms.

Daniel P Stipano

Dan Stipano is a partner with Davis Polk in the Financial Institutions & Regulation Group in Washington DC. Mr. Stipano was formerly the deputy chief counsel for the Office of the Comptroller of the Currency (OCC). He also twice served as the agency's Acting Chief Counsel and was the Director of the OCC's Enforcement and Compliance Division. Mr. Stipano is a member of the D.C. Bar, the Virginia State Bar, and the American Bar Association. He received his JD degree from the Marshall-Wythe School of Law, College of William and Mary, and a BA degree, summa cum laude, from Union College.

James F. Vivenzio

Mr. Vivenzio is Senior Counsel at Perkins Coie. Prior to joining the private sector last year, he was the Director for BSA/AML Policy for the Office of the Comptroller of the Currency's (OCC) in Washington D.C., where he was responsible for policy developments in the area of BSA/AML regulation and bank supervision. He served in this role since April 2020 when he was appointed to be the Acting Director. He has also been designated as a co-chair on the Bank Secrecy Advisory Group (BSAAG) Subcommittee on Innovation and Technology established under the Anti-Money Laundering Act of 2020 (AML Act).

Mr. Vivenzio first joined the OCC in 1991 and has served in the law department for almost 30 years; the last 13 years as the agency's Senior Counsel for BSA/AML. In that position, he has been the agency's lead legal expert on all legislative, regulatory, policy and enforcement matters involving BSA/AML, as well as providing legal support and assistance on operational risks and fraud involving payment systems and FinTech.

During his time in the law department, Mr. Vivenzio has worked closely with the OCC's Compliance Risk Policy team on a wide range of policy and supervision actions, including playing a lead role in the OCC's efforts concerning the AML Act of 2020, collaborating on interagency statements developed by the BSA/AML Regulator Reform Working group, serving on the OCC Large Bank Review Team and the OCC's Payments Charter and Cryptocurrency working groups, and actively supporting the National Compliance Risk Committee. He has regularly briefed Comptrollers and OCC senior executives on BSA/AML legal and policy issues; served as the OCC's legal expert on high profile BSA/AML investigations and penalty actions; drafted Congressional testimony; and represented the OCC at conferences and on interagency groups including FS-ISAC and BSAAG working groups. He also reviewed and commented on all BSA/AML violations of law, and all enforcement actions taken by the agency.

Mr. Vivenzio has a bachelor of science degree in business administration from Fordham University and a law degree from Fordham University's School of Law. He was an associate with the law firm White & Case in New York City.

Emile J. M. Van Der Does De Willebois

Emile Van Der Does De Willebois is Global Lead for Financial Sector Integrity, the World Bank, and the coordinator for the Stolen Asset Recovery initiative, a joint World Bank/ UN Office on Drugs and Crime initiative that aims to assist countries in the return of proceeds of corruption to their countries. Mr. Van Der Does De Willebois has been with the Bank since 2004, providing policy advice to countries on anti-money laundering, combating the financing of terrorism and asset recovery and building country capacity to conduct financial investigations. He specializes in the abuse of legal entities, beneficial ownership issues--he was the lead author of The Puppet Masters--and the use of non-profit entities for terrorist purposes. Previously he was with the Office of the Prosecutor at the International Criminal Tribunal for the former Yugoslavia, and in private practice, specializing in banking and securities law. Emile holds degrees in Philosophy and Law from Leiden University.

Richard Weber

Rich Weber is a partner at Winston & Strawn in their New York Office. He has led, supervised, prosecuted, and coordinated numerous complex international and domestic multiagency financial investigations, including money laundering, tax, cyber, digital assets, and asset forfeiture cases. Rich previously served as General Counsel for the New York State Department of Financial Services (DFS), where he was responsible for all legal and compliance matters in the agency that regulates the activities of over 3,000 banking, insurance, digital assets, and other financial institutions.

As the former General Counsel of DFS, Rich also served as the Chair of the Monitor Selection Committee, Chair of the Audit Committee, and a member of the Virtual Currency Licensing, Risk, Cyber-Security, and Regulator of the Future committees. He also served as the Executive Sponsor of the African American Resource Committee.

Prior to serving as General Counsel at DFS, Rich was Managing Director and Head of UBS's Financial Crime Prevention Division for the Americas, where he led efforts surrounding anti-money laundering, the Bank Secrecy Act, sanctions compliance, fraud, FCPA, transaction monitoring, financial investigations, and anti corruption. Rich also served as Deutsche Bank's Managing Director and America's Head of Anti Financial Crimes for the Americas.

Rich is the former Chief of the Criminal Investigation Division at the Internal Revenue Service (IRS), U.S. Department of the Treasury, the sixth-largest law enforcement agency in the country. Rich led a worldwide staff of over 3,700 and coordinated complex domestic and international financial investigations involving money laundering, tax, sanctions evasion, cyber, digital assets, bribery/foreign corruption, fraud, terrorist financing, and asset forfeiture. Rich served on the Commissioner's Senior Executive Team — working closely with every civil division head of office. He was also an inaugural member of the first-ever Enterprise Risk Committee at the IRS.

During his tenure at the IRS, Rich oversaw some of the most significant global financial-crime investigations in the history of the agency. Rich spearheaded the first-ever IRS CI cybercrime program and significantly enhanced the agency's capabilities in cybercrime and digital assets investigations. Rich was responsible for the forfeiture of over US\$50 billion in criminal penalties.

Rich's senior prosecutorial roles also included serving as Deputy Chief, Investigation Division, and Chief, Major Economic Crimes Bureau, for the New York County District Attorney's Office; Chief, Asset Forfeiture/Money Laundering Section, for the U.S. Department of Justice's Criminal Division; and Assistant U.S. Attorney, Deputy Chief (Civil Division), and Chief, Asset Forfeiture Unit, for the U.S. Attorney's Office, Eastern District of New York.

During his time as Assistant U.S. Attorney, Rich prosecuted and coordinated complex multi-agency domestic and international money laundering, financial, tax, and forfeiture cases, and prosecuted more than 100 complex international and domestic financial investigations.

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